Sufficient Optimality Criteria for nonlinear Programming Problems Involving Semi-Differentiable Functions Muhenned A. Abdul-Sahib Computer Department College of Science Thi-Qar University Thi-Qar /Iraq

Abstract

In this paper, a nonlinear programming problem has been considered, where the function involved are η - semidifferentiable. Kaul and Layall were defined η -convexity, η -quasiconvexity and η – pseudoconvexity for semidifferentiable functions.New classes of $\eta_1\eta_2$ – convexity, functions $\eta_1\eta_2$ – quasiconvexity and $\eta_1\eta_2$ pseudoconvexity are defined on η_1 – semidifferentiable.Some involving these function are discussed.Sufficient properties optimality criteria for nonlinear programming problems involving these functions are given.

> <u>الخلاصة</u> العالمان كول و لال عرفا اصناف الدوال – convexity, *η*-quasiconvexity and *η*- pseudoconvexity *η* للدوال التي هي semidifferentiable .

درست البرمجه غير الخطيه في حالة دالة الهدف والقيود $\eta_1\eta_2 - \eta_1\eta_2 - 0$ Semidifferentiable $\eta_1 - (=$ Semidifferentiable (اصناف الدوال $\eta_1 - \eta_2 - \eta_1\eta_2 - \eta_1\eta_$

1. Introduction

The importance of convex functions is every local minima of convex function defined on convex set are also global minima, some generalization of convex functions and their properties were investigated by Hanson [1].He defined invexity for differentiable function as a very broad generalization of convexity. A mathematical program of the form

Min f(x) subject to $g(x) \le 0$, $x \in C \subseteq \mathbb{R}^n$ is invex if there exists a function $\eta: CXC \to \mathbb{R}^n$ such that for all $x, u \in C$, $f(x) - f(u) \ge \eta(x, u) \nabla f(u)$

and

 $g(x) - g(u) \ge \eta(x, u) \nabla g(u)$

It may be noted here that the convex case corresponding to

 $\eta(x,u) = x - u \,.$

Kaul and Lyall[2]defined η -convexity, η -quasiconvexity and pseudoconvexity for functions which the right differential of the function in the direction $(x-\bar{x})$ exist. Preda and Minasian[3] defined functions which are η -semidifferentiable numerical function defined on η -locally starshaped set i.e. those functions for which the right differential of the functions in the direction $\eta(x,\bar{x})$ exist at each point of the η -locally starshaped set on which the functions are defined.

In this paper, a nonlinear programming problem is considered; where the functions involved are η -semidifferentiable .sufficient optimality conditions are obtained. The class of semilocally preinvex is special case of $\eta_1\eta_2$ -convex in case η_1 -semidifferentiable function.

Section 2 introduce the terms $\eta_1\eta_2$ – convexity, $\eta_1\eta_2$ – quasiconvexity, $\eta_1\eta_2$ – pseudoconvexity. Sections 3 contains some of the properties possessed by these functions. Section 4 shows sufficient optimality criteria for nonlinear programming problem involve $\eta_1\eta_2$ – convexity.

2. DEFINITIONS AND PRELIMINARIES

Let R^n be the n-dimensional Euclidian Space and f be a numerical function defined on a set $C \subseteq R^n$.

Definitintion2.1 [1]: The set C is invex at $\overline{x} \in C$ if $\overline{x} + \lambda \eta(x, \overline{x}) \in C$ for any $x \in C$ and any $\lambda \in [0,1]$.

The set C is invex if C is invex at any $x \in C$.

If $\eta(x, \overline{x}) = x - \overline{x} \quad \forall x \in C$ then C is a convex set.

The following two definitions from Kaul and Kaur.

Definition2.2: The right differential of f at $\bar{x} \in C$ in the direction of $x - \bar{x}$ denoted by $df^+(\bar{x}, x - \bar{x})$ is defined as

$$df^{+}(\overline{x}, x - \overline{x}) = \lim_{\lambda \to 0^{+}} \frac{f((1 - \lambda)\overline{x} + \lambda x) - f(\overline{x})}{\lambda}$$

provided the limit exists.

If the right differential exists at each $\overline{x} \in c$, then f is said to be semidifferentiable on C

Definition2.3: A subset $C \subseteq \mathbb{R}^n$ is said to be locally starshaped at $\overline{x} \in \mathbb{C}$ if corresponding to \overline{x} and each $x \in C$, there exists a maximum positive number $a(x,\overline{x}) \leq 1$ such that

 $(1-\lambda)\overline{x} + \lambda x \in C$ $0 < \lambda < a(x,\overline{x})$

If C is locally starshaped fore each $\overline{x} \in C$, then C is a locally starshaped set at each of it's points

Definition2.4: The set $C \subseteq \mathbb{R}^n$ is an η -locally starshaped set at $\overline{x}(\overline{x} \in C)$ if for any $x \in C$ there exists a vector function η and a positive number $a_{\eta}(x,\overline{x})$ with $0 < a_{\eta}(x,\overline{x}) \le 1$ such that $\overline{x} + \lambda \eta(x,\overline{x}) \in C$ for any $\lambda \in [0, a_{\eta}(x,\overline{x})]$.

We say that the set C is η -locally starshaped if C is η -locally starshaped at any $x \in C$

Definition2.5 [3]: Let $f: C \to R$ be a function, where $C \subseteq R^n$ is an η – locally starshaped set at $\overline{x} \in C$, we say that f is

i- Semilocally preinvex(slpi) at \bar{x} if corresponding to \bar{x} and each $x \in C$ there exists a positive number $d_{\eta}(x, \bar{x}) \leq a_{\eta}(x, \bar{x})$ such that

 $f(\bar{x} + \lambda \eta(x, \bar{x})) \le \lambda f(x) + (1 - \lambda) f(\bar{x}) \qquad 0 < \lambda < d_n(x, \bar{x})$

- ii- The function f is strictly semilocally preinvex (sslpi) at $\overline{x} \in C$ if for each $x \in C$, $x \neq \overline{x}$ the inequality is strict
- **Definition2.6** [2]: A semidifferentiable numerical function f define on a set $C \subseteq R^n$ is said to be η -convex at \bar{x} if there exists a numerical function $\eta(x, \bar{x})$ define on CXC such that

$$f(x) - f(\bar{x}) \ge \eta(x, \bar{x}) df^+(\bar{x}, x - \bar{x}) \qquad \forall x \in C$$

f is said to be η -convex on C if there exists a numerical function $\eta(x_1, x_2)$ defined on CXC such that

 $f(x_1) - f(x_2) \ge \eta(x_1, x_2) df^+(x_2, x_1 - x_2) \qquad \forall x_1, x_2 \in C$

When the relation is satisfied as a strict inequality f is said to be a strictly η – convex function.

Definition2.7 [3]: Let $f: C \to R$ be a function, where $C \subseteq R^n$ is an η -locally starshaped set at $\overline{x} \in C$. We say that f is η -semidifferentiable at \overline{x} if $df^+(\overline{x}, \eta(x, \overline{x}))$ exists for each $x \in C$, where

$$df^{+}(\bar{x},\eta(x,\bar{x})) = \lim_{\lambda \to 0^{+}} \frac{[f(\bar{x} + \lambda \eta(x,\bar{x})) - f(\bar{x})]}{\lambda}$$

If f is η -semidifferentiable at any $\overline{x} \in C$, then f is said to be η -semidifferentiable on C

Definition 2.8 : η_1 – semidifferentiable numerical function f defined on an η_1 – locally starshaped set C is said to be $\eta_1\eta_2$ – convex at $\bar{x} \in C$ if there exist a numerical function $\eta_2(x,\bar{x})$ defined on CXC such that

 $f(x) - f(\bar{x}) \ge \eta_2(x, \bar{x}) df^+(\bar{x}, \eta_1(x, \bar{x})) \qquad \forall x \in C$

f is said to be $\eta_1\eta_2$ – convex on C if there exists a numerical function $\eta_2(x_1, x_2)$ define on *C*X*C* such that

 $f(x_1) - f(x_2) \ge \eta_2(x_1, x_2) df^+(x_2, \eta_1(x_1, x_2)) \qquad \forall x_1, x_2 \in C$

when the inequality satisfied strictly then f is said to be a strictly $\eta_1\eta_2-$ convex functions .

Theorem2.1 [4]:

Let $f: C \to R$ be an η_1 – semidifferentiable on η_1 – locally starshaped set C

i- The function f is slpi at $\bar{x} \in C$ iff $df^+(\bar{x}, \eta(x, \bar{x}))$ exists and

$$f(x) - f(\overline{x}) \ge df^+(\overline{x}, \eta_1(x, \overline{x})) \qquad \forall x \in \mathbf{0}$$

ii-The function f is strictly semi locally preinvex at $\bar{x} \in C$ iff $df^+(\bar{x}, \eta(x, \bar{x}))$ exists and $f(x) - f(\bar{x}) \ge df^+(\bar{x}, \eta_1(x, \bar{x}))$ $\forall x \neq \bar{x}$

This theorem shows that if $f: C \to R$ is η_1 -semidifferentiable function, semi-locally preinvex is special case of $\eta_1\eta_2$ -convex.

The converse is not true

Example 2.1: Consider a function

$$f:[0,\frac{\pi}{2}[\rightarrow R \text{ defined by}$$
$$f(x) = \begin{cases} \sin x & 0 \le x < \frac{\pi}{6} \\ 2\sin x - \frac{1}{2} & \frac{\pi}{6} \le x < \frac{\pi}{2} \end{cases}$$

It's clear that the function is not differentiable at $x = \frac{\pi}{6}$, take $\eta_1(x_1, x_2) = x_1 - x_2$

$$\eta_2(x_1.x_2) = \begin{cases} \frac{\sin x_1 - \sin x_2}{(x_1 - x_2)\cos x_2} & x_1 \neq x_2 \\ 1 & x_1 = x_2 \end{cases}$$

$$df^{+}(x_{2}, x_{1} - x_{2}) = \begin{cases} (x_{1} - x_{2})\cos x_{2} & 0 \le x_{1} < \frac{\pi}{6}, 0 \le x_{2} < \frac{\pi}{6} \\ 2(x_{1} - x_{2})\cos x_{2} & \frac{\pi}{6} \le x_{1} < \frac{\pi}{2}, \frac{\pi}{6} < x_{2} < \frac{\pi}{2} \\ (x_{1} - \frac{\pi}{6})\cos \frac{\pi}{6} & 0 \le x_{1} < \frac{\pi}{6}, x_{2} = \frac{\pi}{6} \\ 2(x_{1} - \frac{\pi}{6})\cos \frac{\pi}{6} & \frac{\pi}{6} \le x_{1} < \frac{\pi}{2}, x_{2} = \frac{\pi}{6} \end{cases}$$

It can be easily verified that the inequality

 $f(x_1) - f(x_2) \ge \eta_2(x_1, x_2) df^+(x_2, \eta_1(x_1, x_2))$ holds in $[0, \frac{\pi}{2}[$ hence the function is $\eta_1 \eta_2$ - convex but if we take $x_1 = \frac{\pi}{12}$, and $x_2 = \frac{\pi}{18}$ we observe that $f(x_1) - f(x_2) \le df^+(x_1, \pi_1(x_1, x_2))$

$$f(x_1) - f(x_2) < df^+(x_2, \eta_1(x_1, x_2))$$

Hence the function f is not semi-locally preinvex (slpi)

Definition 2.9: η_1 -semidifferentiable function f defined on an η_1 -locally starshaped set $C \subseteq \mathbb{R}^n$ is said to be $\eta_1 \eta_2$ –quaiconvex at $\overline{x} \in C$, if there exists a numerical function $\eta_2(x, \overline{x})$ defined on CXC such that

$$f(x) \le f(\bar{x}) \Longrightarrow \eta_2(x, \bar{x}) df^+(\bar{x}, \eta_1(x, \bar{x})) \le 0 \qquad \forall x \in C$$

f is said to be $\eta_1\eta_2$ -quaiconvex on C if there exists a numerical function $\eta_2(x, \bar{x})$ defined on CXC such that

$$f(x_1) \le f(x_2) \Longrightarrow \eta_2(x_1, x_2) df^+(x_2, \eta_1(x_1, x_2)) \le 0 \qquad \forall x_1, x_2 \in C$$

The function f is called strictly and excisence when

The function f is called strictly $\eta_1\eta_2$ – qusiconvex when

$$f(x_1) \underset{x_1 \neq x_2}{\prec} f(x_2) \Longrightarrow \eta_2(x_1, x_2) df^+(x_2, \eta_1(x_1, x_2)) < 0 \qquad \forall x_1, x_2 \in C$$

Definition2.10: An η_1 – semidifferentiable numerical function f defined on an η_1 – locally starshaped set $C \subset \mathbb{R}^n$ is said to be $\eta_1\eta_2$ – pesudoconvex at $\overline{x} \in C$ if there exists a numerical function $\eta_2(x, \overline{x})$ defined on CXC such that

 $\eta_2(x,\bar{x})df^+(\bar{x},\eta_1(x,\bar{x})) \ge 0 \Longrightarrow f(x) \ge f(\bar{x}), \qquad \forall x \in C.$

also f is $\eta_1\eta_2$ – pseudo convex on C if there exists a numerical function $\eta_2(x_1, x_2)$ defined on CXC such that

 $\eta_2(x_1, x_2) df^+(x_2, \eta_1(x_1, x_2)) \ge 0 \Longrightarrow f(x_1) \ge f(x_2), \quad \forall x_1, x_2 \in C.$

Remark: Every $\eta_1\eta_2$ – convex function is $\eta_1\eta_2$ – quaiconvex for the same function $\eta_1\eta_2$ – respectively but the converse is not true

from the definition of $\eta_1\eta_2$ – convexity we find that

$$f(x_1) - f(x_2) \ge \eta_2(x_1, x_2) df^+(x_2, \eta_1(x_1, x_2)) \qquad \forall x_1, x_2 \in C$$

Therefore

$$f(x_1) - f(x_2) \le 0 \Longrightarrow \eta_2(x_1, x_2) df^+(x_2, \eta_1(x_1, x_2)) \le 0 \qquad \forall x_1, x_2 \in C$$

This shows that $\eta_1 \eta_2$ - convex is $\eta_1 \eta_2$ - quesiconvex.

The following example shows that , the converse is not always true Example 2.2: Consider the function $f:[1,4] \rightarrow R$ defined as follows

$$f(x) = \begin{cases} x^3 & 1 \le x < 2\\ 2x^2 & 2 \le x < 4 \end{cases}$$

Clearly this function is not differentiable at x=2.the computation of the η_1 – semiddefential of the function take $\eta_1(x_1, x_2) = x_1 - x_2$ yields

$$df^{+}(x_{2}, x_{1} - x_{2}) = \begin{cases} 3(x_{1} - x_{2})x_{2}^{2} & 1 \le x_{1} < 2, 1 \le x_{2} < 2\\ 4(x_{1} - x_{2})x_{2} & 2 < x_{1} < 4, 2 < x_{2} < 4\\ 12(x_{1} - 2) & 1 \le x_{1} < 2, x_{2} = 2\\ 8(x_{1} - 2) & 2 \le x_{1} < 4, x_{2} = 2 \end{cases}$$

Let us choose $\eta_2(x_1, x_2) = x_1/2$, then it can be easily seen that in all the above ranges of x_1, x_2 we have

$$f(x_1) - f(x_2) \le 0 \Longrightarrow \eta_2(x_1, x_2) df^+(x_2, \eta_1(x_1, x_2)) \le 0 \qquad \forall x_1, x_2 \in C$$

Hence the function is $\eta_1\eta_2$ – quasiconvex .but this function is not $\eta_1\eta_2$ – convex

because at
$$x_1 = \frac{5}{4}, x_2 = \frac{3}{2}$$
 the inequality

$$f(x_1) - f(x_2) \ge \eta_2(x_1, x_2) df^+(x_2, \eta_1(x_1, x_2))$$

doesn't hold

3. Theorem 3.1:Every η_1 – semidifferentiable numerical function f defined on an η_1 – locally starshaped set $C \subseteq R^n$ is $\eta_1 \eta_2$ – convex iff

$$x_1, x_2 \in C$$
 and $df^+(x_2, \eta_1(x_1, x_2)) = 0 \Longrightarrow f(x_1) \ge f(x_2)$

Proof: let f is $\eta_1\eta_2$ – convex.

Therefore there exists a numerical function $\eta_2(x_1, x_2)$ such that

$$f(x_1) - f(x_2) \ge \eta_2(x_1, x_2) df^+(x_2, \eta_1(x_1, x_2)) \qquad \forall x_1, x_2 \in C$$

It follows from this inequality that

 $f(x_1) - f(x_2) \ge 0 \Longrightarrow f(x_1) \ge f(x_2)$ $\forall x_1, x_2 \in C$ Conversely: we have to show that

$$f(x_1) - f(x_2) \ge \eta_2(x_1, x_2) df^+(x_2, \eta_1(x_1, x_2)) \qquad \forall x_1, x_2 \in C$$

If $df^+(x_2,\eta_1(x_1,x_2)) = 0$ then the inequality is satisfied, we take $\eta_2(x_1,x_2)$ any function

If
$$df^+(x_2,\eta_1(x_1,x_2)) \neq 0$$
 then choose $\eta_2(x_1,x_2) = \frac{f(x_1) - f(x_2)}{df^+(x_2,\eta_1(x_1,x_2))}$ and

inequality is again verified

Hence f is $\eta_1\eta_2$ – convex

Theorem3.2: Let f be a numerical function defined on an η_1 – locally starshaped set $C \subseteq R^n$ and η_1 – semidifferentiable at $\bar{x} \in C$.suppose there exists

a positive numerical function $\eta_2(x, \overline{x})$ defined on CXC and maximum positive number $a_{\eta_1}(x, \overline{x})$ and $d_{\eta_1}(x, \overline{x})$ such that

$$\overline{x} + \lambda \eta_2(x, \overline{x}) \eta_1(x, \overline{x}) \in C \qquad 0 < \lambda < a_{\eta_1}(x, \overline{x})$$

and

$$f(\bar{x} + \lambda \eta_2(x, \bar{x})\eta_1(x, \bar{x})) \le (1 - \lambda)f(\bar{x}) + \lambda f(x) \qquad \forall x \in C, 0 < \lambda < d_{\eta_1}(x, \bar{x})$$

where

 $a_{\eta_1}(x,\overline{x}) \leq 1$ and $d_{\eta_1}(x,\overline{x}) \leq a_{\eta_1}(x,\overline{x})$

Then f is $\eta_1\eta_2$ –convex at \overline{x}

Proof: We have

$$f(\overline{x} + \lambda \eta_2(x, \overline{x})\eta_1(x, \overline{x})) \le (1 - \lambda)f(\overline{x}) + \lambda f(x) \qquad \forall x \in C, 0 < \lambda < d_{\eta_1}(x, \overline{x})$$

Therefore

$$\frac{[f(\overline{x} + \lambda \eta_2(x, \overline{x})\eta_1(x, \overline{x})) - f(\overline{x})]}{\lambda \eta_2(x, \overline{x})} X \eta_2(x, \overline{x}) \le f(\overline{x}) - f(x) \qquad \forall x \in C, 0 < \lambda < d_{\eta_1}(x, \overline{x})$$

Taking the limit as $\lambda \rightarrow 0^+$, immediately yields the inequality

 $\eta_2(x,\bar{x})df^+(\bar{x},\eta_1(x,\bar{x})) \le f(x) - f(\bar{x}) \qquad \forall x \in C$

Hence f is $\eta_1\eta_2$ – convex

Theorem3.3: Let f be a numerical function defined on an η_1 -locally starshaped set $C \subseteq \mathbb{R}^n$ and η_1 - semidifferentiable at $\overline{x} \in C$ suppose there exists a positive numerical function $\eta_2(x,\overline{x})$ defined on CXC, maximum positive number $a_{\eta_1}(x,\overline{x})$ and $d_{\eta_1}(x,\overline{x})$ such that

 $\overline{x} + \lambda \eta_2(x, \overline{x}) \eta_1(x, \overline{x}) \in C \qquad 0 < \lambda < a_{\eta_1}(x, \overline{x})$ and

$$f(x) \le f(\bar{x}) \Longrightarrow f(\bar{x} + \lambda \eta_2(x, \bar{x}) \eta_1(x, \bar{x})) \le f(\bar{x}) \qquad \forall x \in C, 0 < \lambda < d_{\eta_1}(x, \bar{x})$$

where

$$a_{\eta_1}(x,\overline{x}) \leq 1$$
 and $d_{\eta_1}(x,\overline{x}) \leq a_{\eta_1}(x,\overline{x})$

Then f is $\eta_1\eta_2$ –qusiconvex at \bar{x}

Proof: From the assumption

$$f(x) \le f(\bar{x}) \Longrightarrow f(\bar{x} + \lambda \eta_2(x, \bar{x})\eta_1(x, \bar{x})) \le f(\bar{x}) \qquad \forall x \in C, 0 < \lambda < d_{\eta_1}(x, \bar{x})$$
we get

we get

$$f(\bar{x} + \lambda \eta_2(x, \bar{x})\eta_1(x, \bar{x})) - f(\bar{x}) \le 0 \qquad \forall x \in C, 0 < \lambda < d_{\eta_1}(x, \bar{x})$$

That is

$$\frac{[f(\overline{x} + \lambda \eta_2(x, \overline{x})\eta_1(x, \overline{x})) - f(\overline{x})]}{\lambda \eta_2(x, \overline{x})} X \eta_2(x, \overline{x}) \le 0 \qquad \forall x \in C, 0 < \lambda < d_{\eta_1}(x, \overline{x})$$

Taking the limit as $\lambda \to 0^+$, we get

 $\eta_2(x,\overline{x})df^+(\overline{x},\eta_1(x,\overline{x}) \le 0$, $\forall x \in C$ Hence the function f is $\eta_1\eta_2$ – qusiconvex at \overline{x} .

4. Sufficient Optimality Criterion Consider the nonlinear programming problem

(P) Min f(x)Subject to $g(x) \le 0$ $x \in C$

where f and g are η_1 – semidifferentiable numerical and m-dimensional vector function respectively defined on η_1 – locally starshaped set $C \subseteq \mathbb{R}^n$ Let $X = \{x \in C, g(x) \le 0\}$ be the set of all feasible solution of (P).

Theorem4.1: Let $\overline{x} \in C$ and let f and g be $\eta_1\eta_2$ - convex at \overline{x} with respect to the same functions $\eta_1\eta_2$ - respectively .We assume that at \overline{x}, f, g are η_1 - semidifferentiable .If there exist $u_0^* \in R$ and $u^* \in R^m$ such that $(\overline{x}, u_0^*, u^*)$ satisfy the following conditions

$$u_{0}^{*}\eta_{2}(x,\bar{x})df^{+}(\bar{x},\eta_{1}(x,\bar{x})) + \eta_{2}(x,\bar{x})u^{*}dg^{+}(\bar{x},\eta_{1}(x,\bar{x})) \ge 0 \quad \forall x \in X$$

$$g(\bar{x}) \le 0 \qquad (4.2)$$

$$u^{*'}g(\bar{x}) = 0 \qquad (4.3)$$

$$(u_{0}^{*},u^{*}) \ge 0 \qquad (4.4)$$

$$u_{0}^{*} > 0 \qquad (4.5)$$

Then \overline{x} is an optimal solution of (P). Proof: Since f is $\eta_1\eta_2$ – convex at \overline{x} , therefore for any $x \in X$

$$f(x) - f(\bar{x}) \ge \eta_{2}(x, \bar{x}) df^{+}(\bar{x}, \eta_{1}(x, \bar{x}))$$

$$\ge \frac{-\eta_{2}(x, \bar{x}) u^{*'} dg^{+}(\bar{x}, \eta_{1}(x, \bar{x}))}{u_{0}^{*}} \qquad by(4.1)\&(4.5)$$

$$\ge \frac{u^{*'}}{u_{0}^{*}} [g(\bar{x}) - g(x)]$$

$$= \frac{-u^{*'}}{u_{0}^{*}} g(x) \qquad (4.6)$$

Making use of (4.4) and the fact that $x \in X$ in (4.6) yields the inequality $f(x) \ge f(\overline{x})$ (4.7)

(4.2) shows that \bar{x} is feasible for the problem (P), therefore it follows from (4.7) that \bar{x} is indeed optimal

5.Conclusion

Sufficient Optimality Criteria for Nonlinear programming problems in which the object function and constraint are not differentiable but η -semidifferentiable are given .some properties of this class are studied

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